



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Thomas E. Broome et al.

Serial No.: 10/762,683

Examiner: Unknown

Filed: January 20, 2004

Group Art Unit: Unknown

For: EXPANDABLE RETRIEVAL DEVICE WITH DILATOR TIP

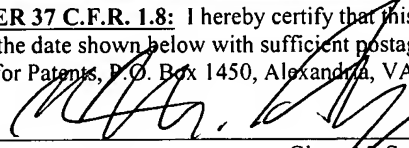
Docket No.: 1001.1720101

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

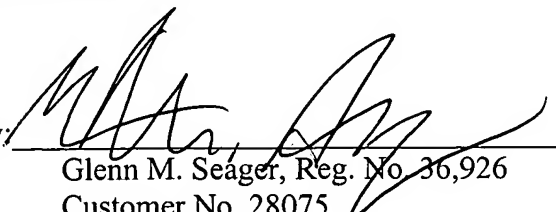
CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 29th day of April, 2004.

By 
Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 with One (1) Reference (Pursuant to 37 CFR §1.98(a)(2)(i) copies of the references are not being submitted).
- ☒ Postcard receipt.

Please consider this transmittal as a Petition for Extension of Time for a sufficient number of months to enter these papers or any future reply, if necessary. Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By 
Glenn M. Seager, Reg. No. 36,926
Customer No. 28075

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P A T E N T

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CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 24th day of April, 2004.

By

Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

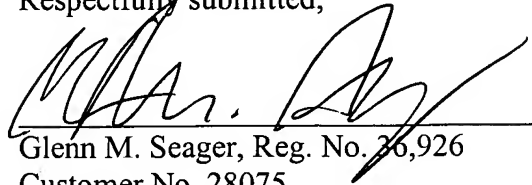
Under the provision 37 CFR §1.98(a)(2)(i), copies of the documents listed on the 1449 Form are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated:

April 29, 2004

Respectfully submitted,



Glenn M. Seager, Reg. No. 36,926

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FORM 1449*

INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION

Docket Number:

1001.1720101

Application Number:

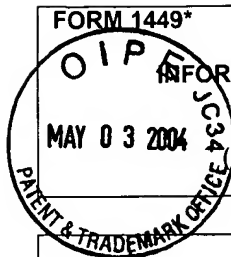
10/762,683

Applicant: Thomas E. Broome et al.

Filing Date: January 20, 2004

Group Art Unit: Unknown

Use several sheets if necessary)



U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	20030233117 A1	12/18/03	Adams et al.		
	20020193827 A1	12/19/02	McGuckin, Jr., et al.		
	20020082558 A1	06/27/02	Samson et al.		
	6,645,224	11/11/03	Gilson et al.		
	6,645,223	11/11/03	Boyle et al.		
	6,602,271	08/05/03	Adams et al.		
	6,569,148	05/27/03	Bagaoisan et al.		
	6,551,342	04/22/03	Shen et al.		
	6,544,280	04/08/03	Daniel et al.		
	6,540,722	04/01/03	Boyle et al.		
	6,468,291	10/22/02	Bates et al.		
	6,458,139	10/01/02	Palmer et al.		
	6,443,972	09/03/02	Bosma et al.		
	6,383,205	05/07/02	Samson et al.		
	6,346,116	02/12/02	Brooks et al.		
	6,290,710	09/18/01	Cryer et al.		
	6,277,139	08/21/01	Levinson et al.		
	6,245,012	06/12/01	Kleshinski		
	6,231,589	05/15/01	Wessman et al.		
	6,171,327	01/09/01	Daniel et al.		
	6,001,118	12/14/99	Daniel et al.		
	5,897,567	04/27/99	Ressemann et al.		
	5,836,868	11/17/98	Ressemann et al.		
	5,766,201	06/16/98	Ravenscroft et al.		
	5,501,694	03/26/96	Ressemann et al.		
	5,490,859	02/13/96	Mische et al.		
	5,152,777	10/06/92	Goldberg et al.		
	5,133,733	07/28/92	Rasmussen et al.		

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number:	Application Number:
	1001.1720101	10/762,683
	Applicant: Thomas E. Broome et al.	
	Filing Date: January 20, 2004	Group Art Unit: Unknown

	4,969,891	11/13/90	Gewertz		

FOREIGN PATENT DOCUMENTS

	WO 02/056799 A2	07/25/02	PCT		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	